

12/20/01

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PATENTS, TRADEMARKS
AND RELATED MATTERS

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(1921-1997)

12/20/01

December 20, 2001

UTILITY PATENT APPLICATION TRANSMITTAL
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: KCC 4788 (K.C. No. 15,397)
First Named Inventor: David W. Koenig
Title: PRODUCTS FOR CONTROLLING MICROBIAL ORGANIC COMPOUND
PRODUCTION
Express Mail Label Number: EL890666278US

TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Application [Total Pages 20]
4. ☐ Drawings [Total Sheets]
5. Oath or Declaration [Total Pages 7]
 - a. ☐ Newly executed (original or copy)
☒ New (unexecuted)
 - b. ☐ Copy from a prior application
(for continuation/divisional with
Box 19 completed)
 - i. ☐ DELETION OF INVENTOR(s)
Signed statement attached
deleting inventor(s) named
in prior application.

6. ☐ Incorporation By Reference
(useable if Box 5b is marked)

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: _____

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE
BOXES AND SUPPLY THE REQUISITE INFORMATION**

19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part
of prior application No.: _____
- ☐ Complete Application based on provisional Application
No. _____

Prior application information: Examiner:
Group Art Unit:



Chris Aff

Christopher M. Goff, Reg. No. 41,785

Year	Country	Year	Country	Year	Country	Year	Country
1990	France	1991	France	1992	France	1993	France
1994	France	1995	France	1996	France	1997	France
1998	France	1999	France	2000	France	2001	France
2002	France	2003	France	2004	France	2005	France
2006	France	2007	France	2008	France	2009	France
2010	France	2011	France	2012	France	2013	France
2014	France	2015	France	2016	France	2017	France
2018	France	2019	France	2020	France	2021	France
2022	France	2023	France	2024	France	2025	France

FEE TRANSMITTAL

Application Number: Not yet assigned
Filed: Herewith
Inventors: David William Koenig et al.
Attorney Docket Number KCC 4788 (K.C. No. 15,397)

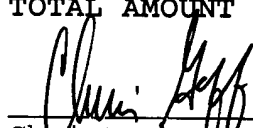
METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☐ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

FEE CALCULATION

1. ☒ BASIC FILING FEE Subtotal (1) \$ 740.00
(Type: Utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$ 492.00
- Total Claims 38
Independent Claims 5
Multiple Dependent Claims _____
3. ☐ ADDITIONAL FEES Subtotal (3) \$ _____
- ☐ Surcharge - late filing fee or oath
☐ Surcharge - late provisional filing fee or cover sheet
☐ Extension for reply within _____ month
☐ Notice of Appeal
☐ Filing a Brief in Support of an appeal
☐ Request for ex parte Reexamination
☐ Petitions to the Commissioner
☐ Submission of Information Disclosure Statement
☐ Recording each patent assignment per property
☐ Request for Continued Examination
☐ Other: _____

TOTAL AMOUNT OF PAYMENT \$ 1,232.00


Christopher M. Goff, Reg. No. 41,785

December 20, 2001
Date

CMGmkd

Express Mail Label No. EL890666278US

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/02/2002 SZEWDIE1 00000019 10029322

01 FC:101	740.00	OP
02 FC:103	324.00	OP
03 FC:102	168.00	OP